

IN THE UNITED STATES PATENT & TRADEMARKS OFFICE

Serial no: unknown

Filed: herewith Group Art Unit:

Inventor: MANTLE, Ross E.

Title: "Medical Device for the Extravascular Recirculation of Fluid in Body Cavities"

Attorney dkt: 102142-04

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Honourable Commissioner of Patents & Trademarks
Washington, D.C. 20231
U.S.A.

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 and 1.98:

- ☒ 5 A list of documents on form PTO-1449. Copies of each identified document is submitted herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

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JC14 Rec'd PCT/PTO 11 MAY 2005

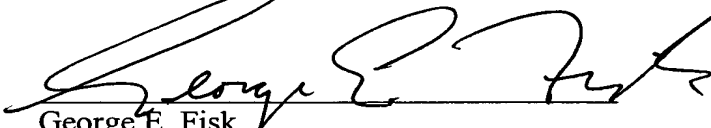
- ☐ 10 37 CFR § 1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention: PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"); and
- (b) The required Certification is stated in item 11 below.

- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonably inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

- ☒ 14 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501-456. Two copies of this form are enclosed for use in connection with any Deposit Account activity.

Respectfully submitted,


George E. Fisk
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Dated: May 10, 2005

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PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	UNKNOWN		
		Filing Date	HEREWITH		
		First Named Inventor	HANTLE, ROSS E.		
		Art Unit			
		Examiner Name			
Sheet	1	of	2	Attorney Docket Number	102142-04

U.S. PATENT DOCUMENTS					
Examiner Initials ³	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 6379331	04/30/02	BARBUT ET AL	
		US- 4445500	05/01/84	OSTERHOLM	
		US- 6254567	07/03/01	TREU ET AL	
		US- 6409699	06/26/02	ASH	
		US- 5141493	08/25/92	JACOBSEN et al	
		US- 6436295	08/20/02	KIM	
		US- 5562821	10/08/96	GU ² TIERREZ-COLLAZO	
		US- 5554280	09/10/96	LOEHR	
		US- 5122267	06/16/92	GIOVANNETTI et al	
		US- 5665227	09/09/97	WATT	
		US- 5380160	01/10/95	CHEN	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	-Number ⁴ - Kind Code ⁵ (if known)			

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	UNKNOWN
Filing Date	HEREWITH
First Named Inventor	MANTLE, ROSS E.
Group Art Unit	
Examiner Name	
Attorney Docket Number	102142-04

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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